

UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/055,201 04/03/98 BROWN

1523:P1

933/P1

0232/0505

PATENT COUNSEL  
LEGAL AFFAIRS  
APPLIED MATERIALS  
P O BOX 450A  
SANTA CLARA CA 95052

NOT ASSIGNED

2817

DATE MAILED:

05/05/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules" 37 CFR 1.82 & 1.825.

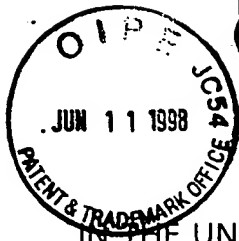
☒ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Cent r  
Initial Patent Examination Division (703) 308-1202

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Sector \$

933.P1/MXP/RPS/PJS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  BROWN, ET AL.  Serial No.: 09/055,201  Filed: April 3, 1998	Group Art Unit: 2817  Examiner: Unknown
For: EXHAUST SYSTEM FOR TREATING PROCESS GAS EFFLUENT	June 8, 1998  San Francisco, California

TRANSMITTAL LETTER

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

Enclosed are the following documents:

- (1) Declaration and Power of Attorney form (4 pages)
- (2) Assignment form (2 pages);
- (3) Assignment Recordation Form Cover Sheet (PTO-1619) (3 pages)
- (4) Part 2 copy of the Notice to File Missing Parts of Application dated

May 5, 1998; and

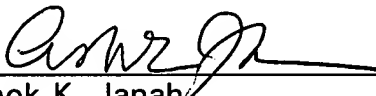
- (5) Post card for return.

The Commissioner is hereby authorized to charge payment of \$170.00 to Deposit Account No. 01-1651, of which \$130.00 is for the missing parts surcharge, and \$40.00 is for the assignment recordation fee.

Respectfully submitted,

JANAH & ASSOCIATES  
A PROFESSIONAL CORPORATION

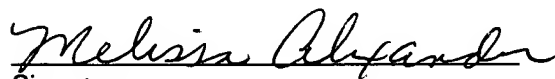
Date: 6/8/98

By:   
Ashok K. Janah  
Reg. No. 37,487

JANAH & ASSOCIATES  
A PROFESSIONAL CORPORATION  
650 Delancey Street, Suite 106  
San Francisco, California 94107-2001  
(415) 538-1555

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this paper and all documents listed herein, on the date shown below, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, by Melissa Alexander on 6-8-98.

  
Signature